

# Autonomous and Mobile Robotics

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## Humanoid Robots 4: Gait Generation

DIPARTIMENTO DI INGEGNERIA INFORMATICA  
AUTOMATICA E GESTIONALE ANTONIO RUBERTI



SAPIENZA  
UNIVERSITÀ DI ROMA

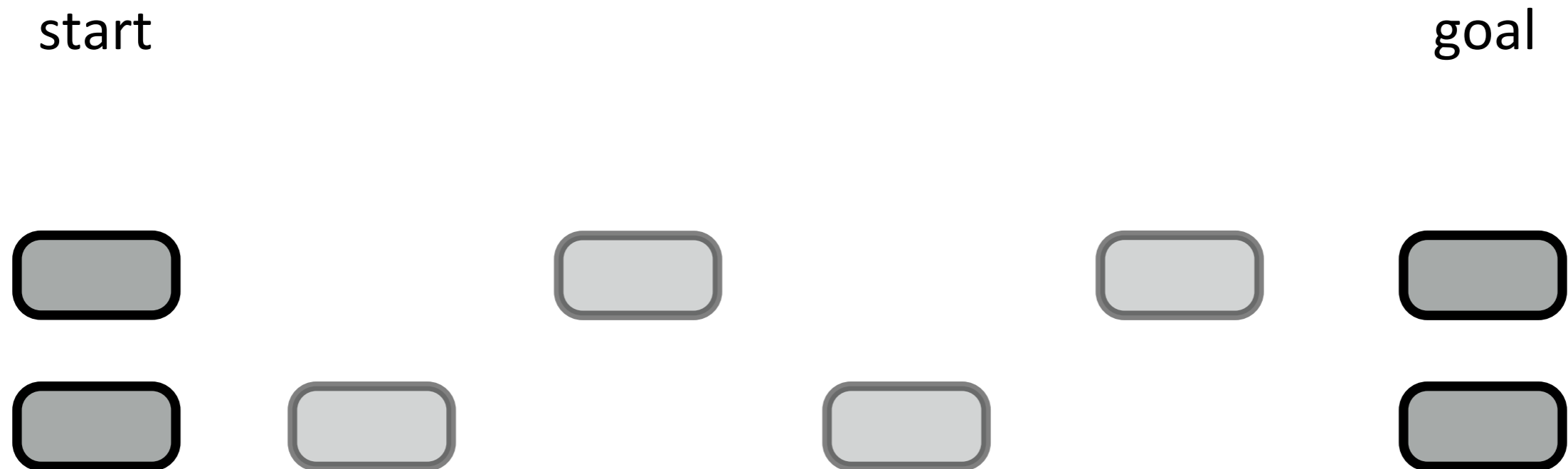
# gait generation

- we have developed models that describe humanoid robot dynamics with varying levels of complexity:  
how do we use these models to **make a robot walk**?
- in these slides we will take a look at a few techniques that can be used to **generate a walking gait** using the LIP model
- main topics will be:
  - stable inversion
  - model predictive control
  - control scheme architecture and kinematic tracking
  - examples

# ZMP-based gait generation

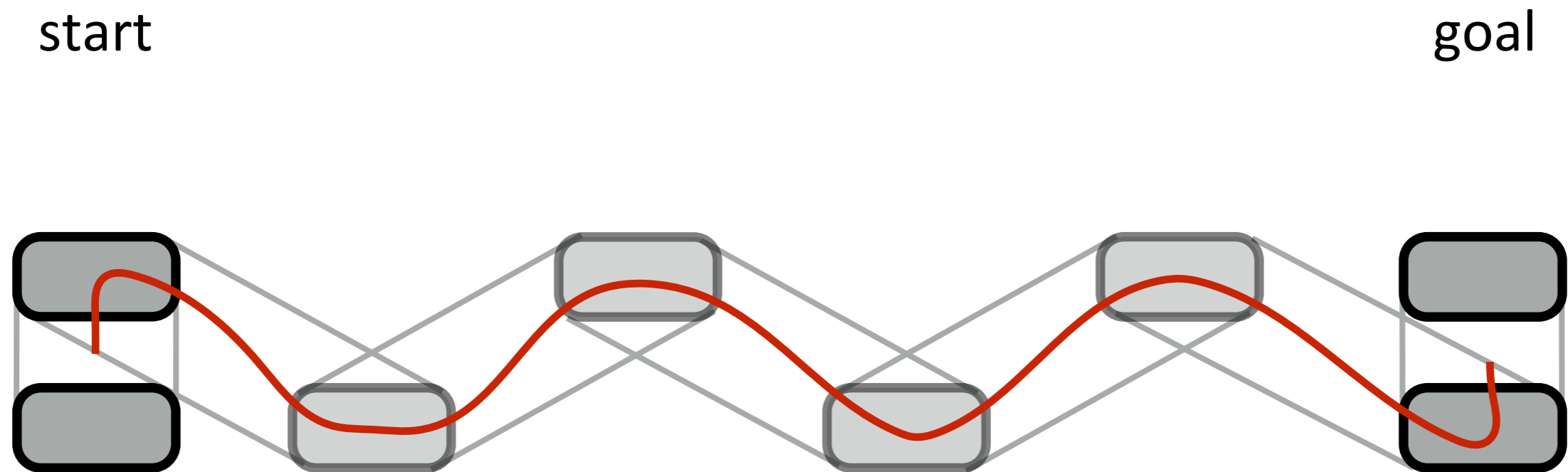
an **algorithm** based on the **strategy**: keep the ZMP inside the Support Polygon (SP)

1. **plan the footsteps...**



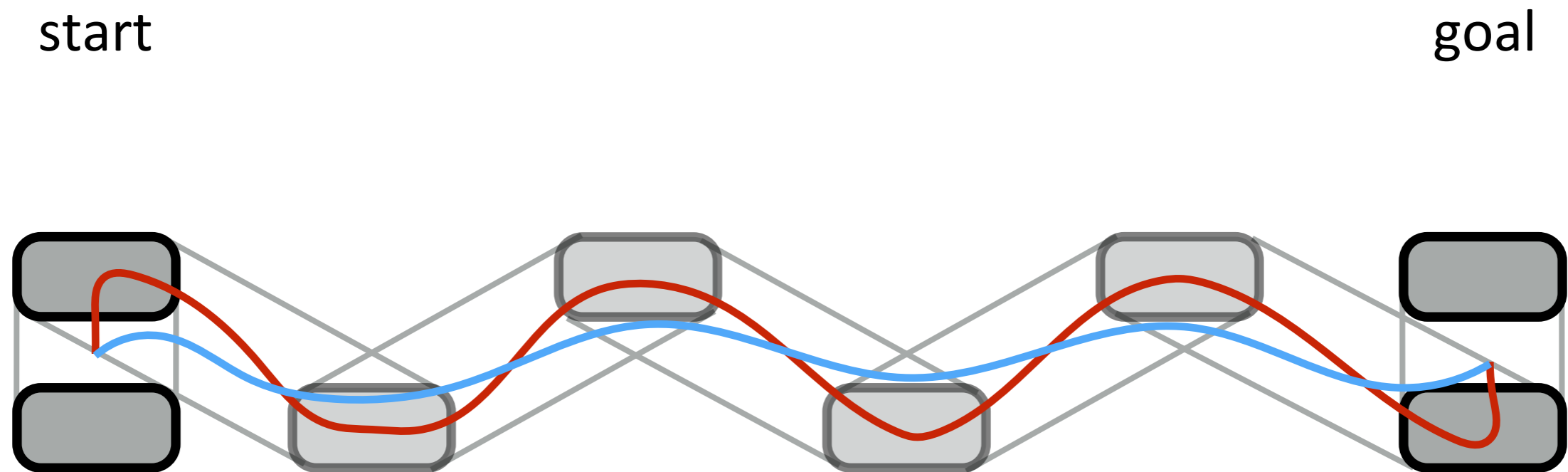
# ZMP-based gait generation

...2. plan a ZMP trajectory such that the ZMP is always inside the current SP...



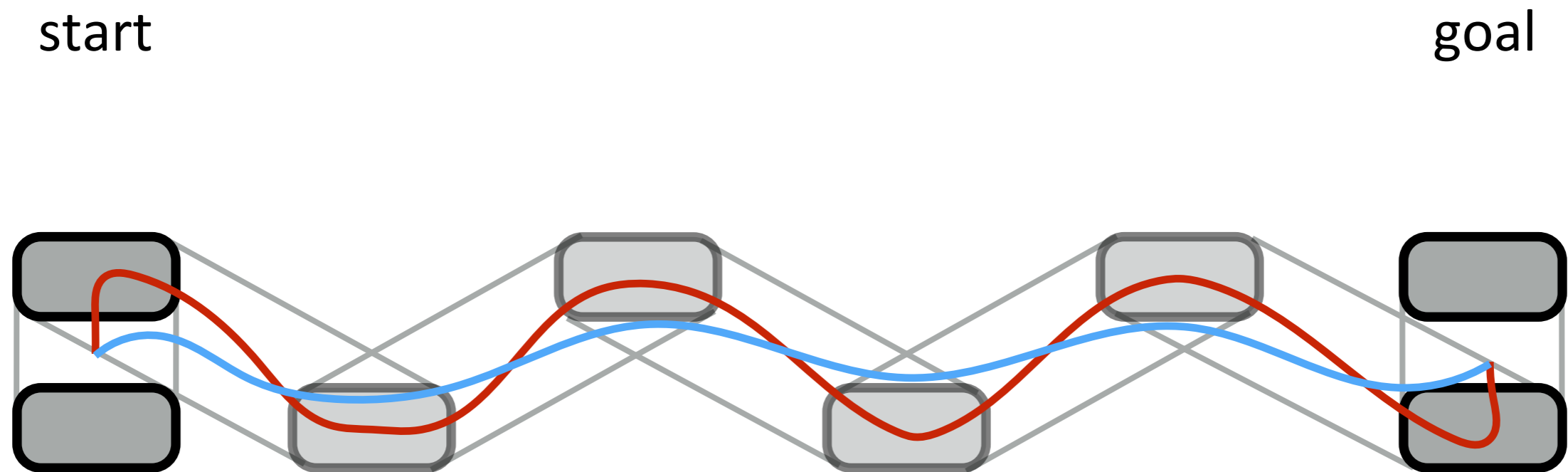
# ZMP-based gait generation

...3. compute a CoM trajectory such that the ZMP moves as planned...



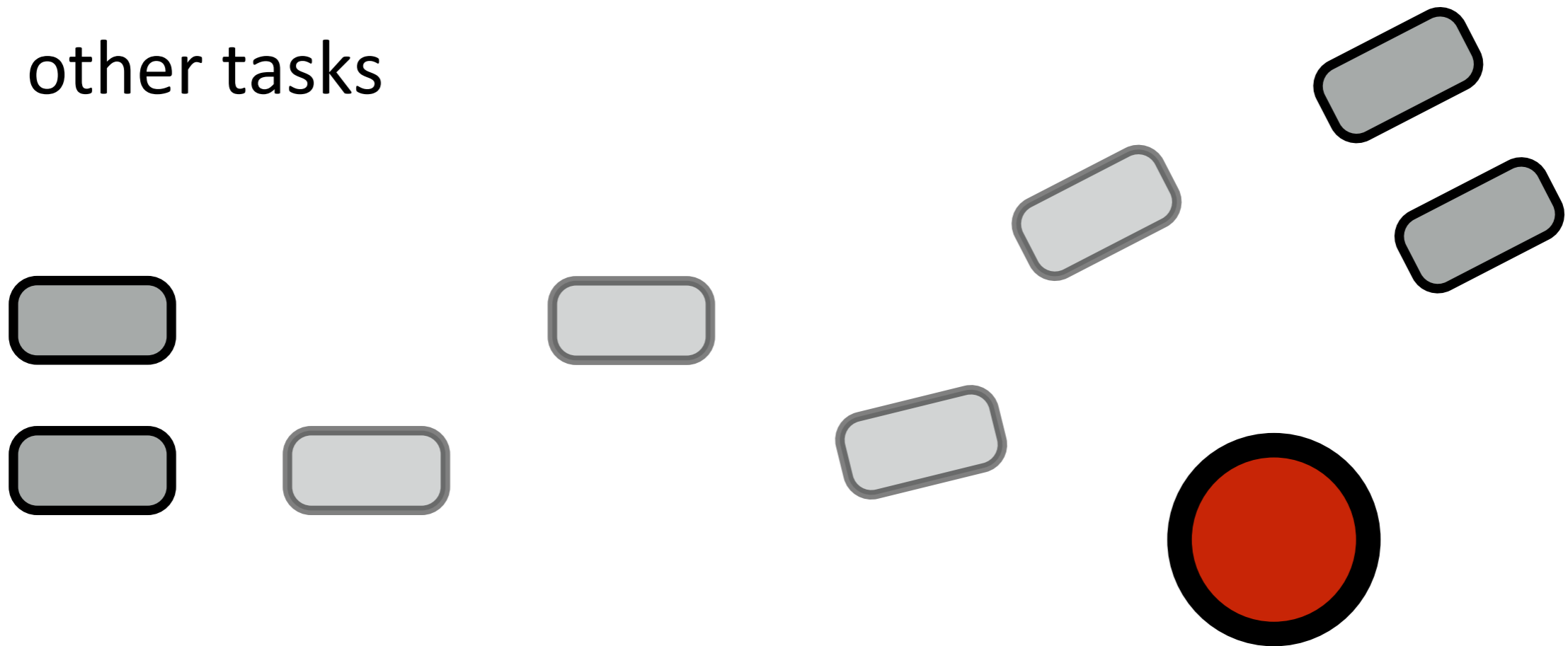
# ZMP-based gait generation

...4. track the CoM trajectory



# algorithm steps in detail

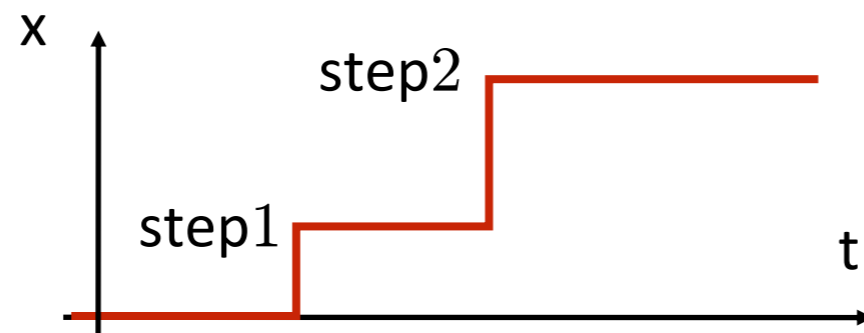
- 1 plan the footsteps (**offline**)  
timing and lengths (desired speed)  
obstacles (obstacle avoidance)  
other tasks



# algorithm steps in detail

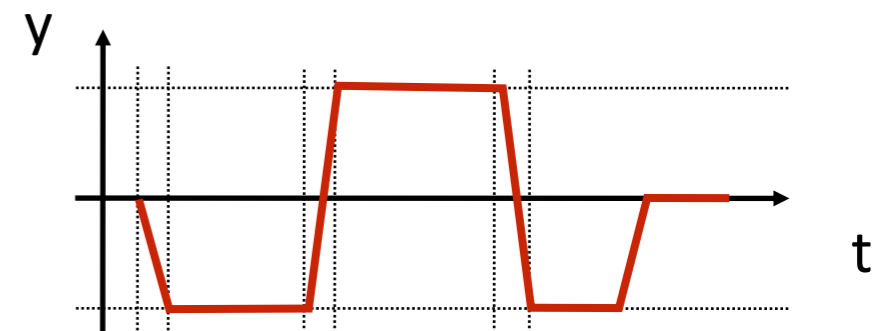
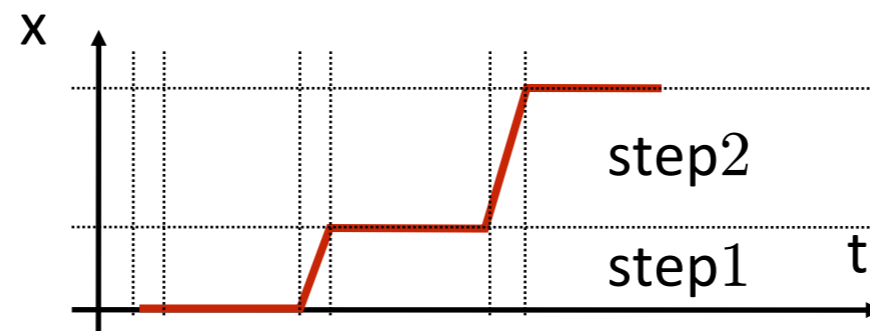
## 2 plan ZMP trajectory

**point foot** (ZMP = point of contact)



**finite-sized foot**

cycling through  
SS and DS phases





## algorithm steps in detail

- 3 compute a (**desired**) CoM trajectory consistent with the planned ZMP trajectory  
**use the LIP model!** e.g., for the sagittal direction

$$\ddot{c}^x = \frac{g^z}{c^z} (c^x - z^x)$$

↑  
planned ZMP trajectory

the CoM trajectory should be a solution of this 2nd order differential equation driven by the forcing term  $z^x(t)$

**potential instability problem!** more on this later...

# algorithm steps in detail

## 4 track the **desired** CoM trajectory

- A. define a swinging foot trajectory
- B. use kinematic control to obtain reference joint trajectories that realize the CoM and foot trajectories
- C. send the reference joint profiles to the joint servos (for a position-controlled humanoid)

other approaches possible

# instability problem: a control perspective

$$\ddot{c}^x = \frac{g^z}{c^z} (c^x - z^x)$$

$$\omega^2 = \frac{g^z}{c^z}$$

pendulum frequency

ZMP  $\longrightarrow$  CoM

$$\frac{c^x(s)}{z^x(s)} = \frac{\omega^2}{s^2 - \omega^2}$$

$$\frac{\ddot{c}^x(s)}{z^x(s)} = \frac{\omega^2 s^2}{s^2 - \omega^2}$$

inversion

$$\frac{z^x(s)}{j^x(s)} = \frac{s^2 - \omega^2}{s^3 \omega^2}$$

$$j^x(t) = \frac{d}{dt} (\ddot{c}^x(t))$$

$$\frac{z^x(s)}{\ddot{c}^x(s)} = \frac{s^2 - \omega^2}{s^2 \omega^2}$$

jerk

CoM  $\longrightarrow$  ZMP

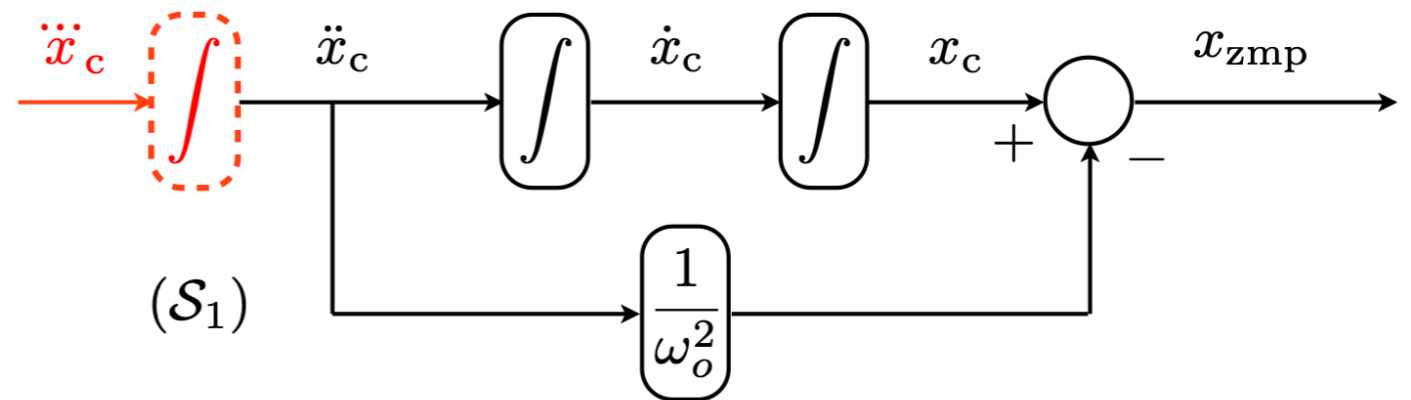
# instability problem: a control perspective

$$\ddot{c}^x = \frac{g^z}{c^z} (c^x - z^x) \qquad \omega^2 = \frac{g^z}{c^z}$$

reference ZMP

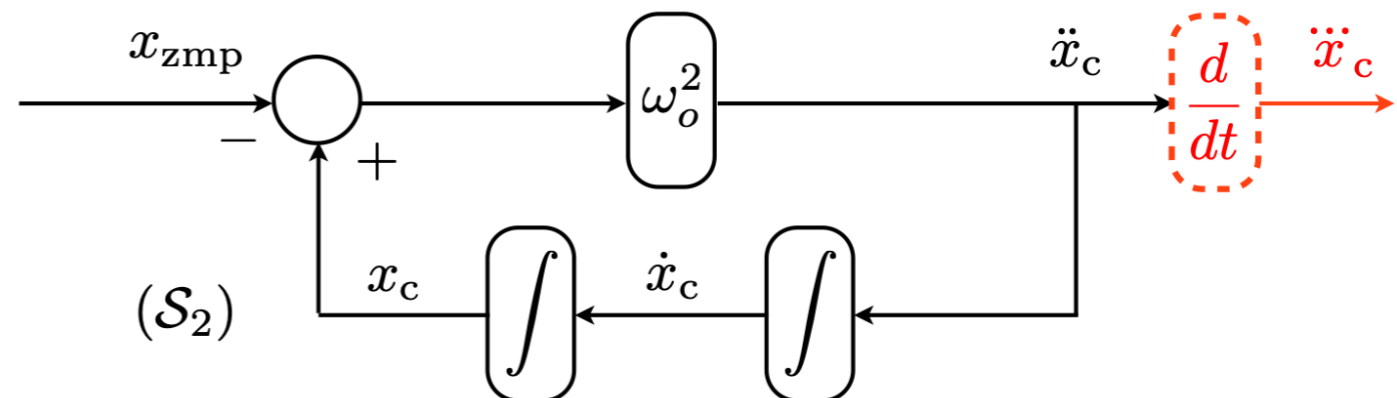
CoM  $\longrightarrow$  ZMP

**output tracking problem**



ZMP  $\longrightarrow$  CoM

**stable inversion problem**



# stable inversion

- using a change of coordinates, the LIP can be decoupled in **stable** and **unstable** dynamics

$$x_s = c - \dot{c}/\eta$$

$$x_u = c + \dot{c}/\eta$$

← also known as:

Divergent Component of Motion (DCM)

Capture Point (CP)

Extrapolated Center of Mass (xCoM)

- the decoupled dynamics are

$$\text{stable} \quad \dot{x}_s = \eta(-x_s + x_z)$$

$$\text{unstable} \quad \dot{x}_u = \eta(x_u - x_z)$$

- the CoM evolution is bounded if and only if

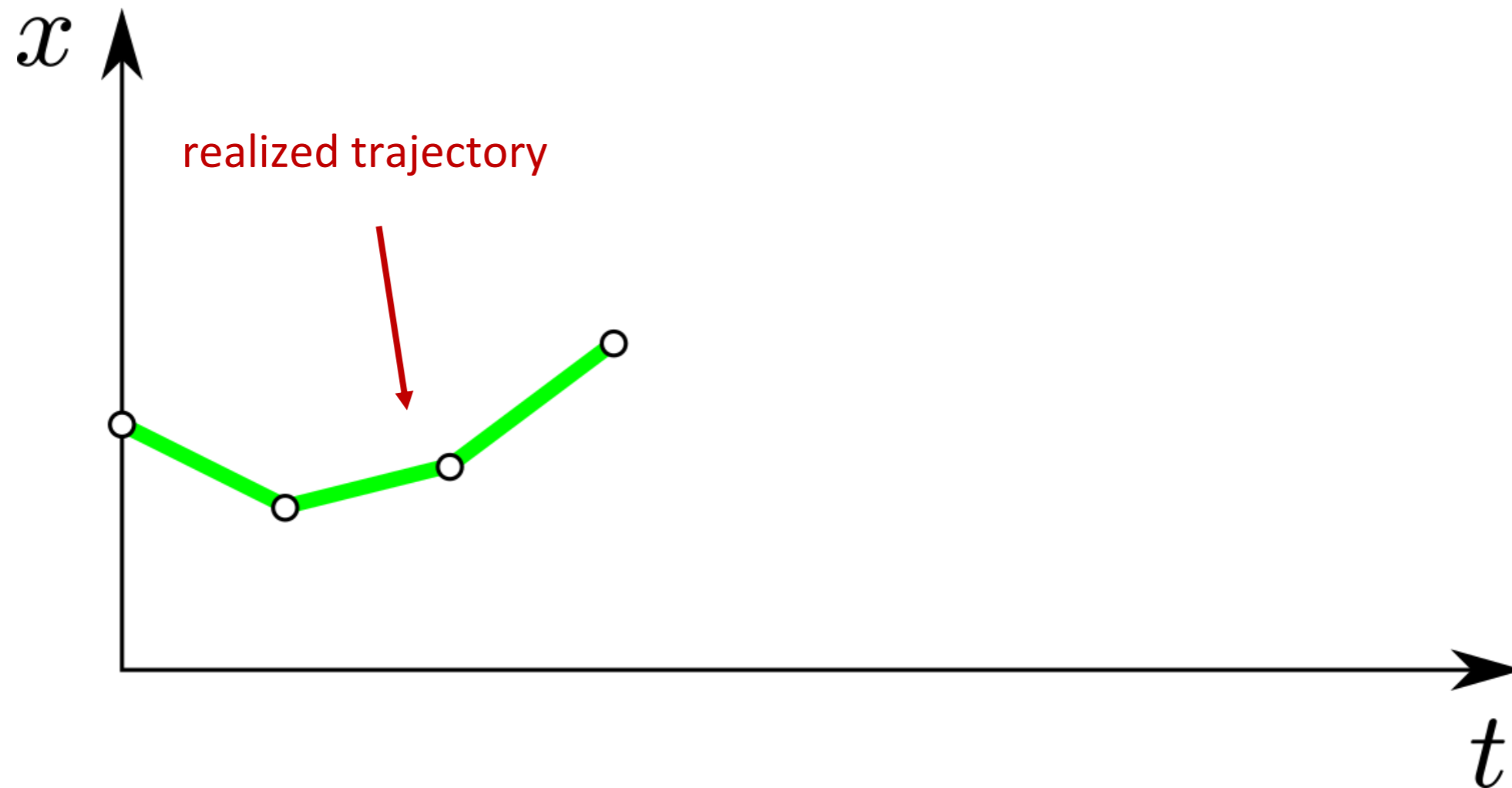
$$x_u(t_0) = \eta \int_{t_0}^{\infty} e^{-\eta(\tau-t_0)} z(\tau) d\tau$$

**stability condition**

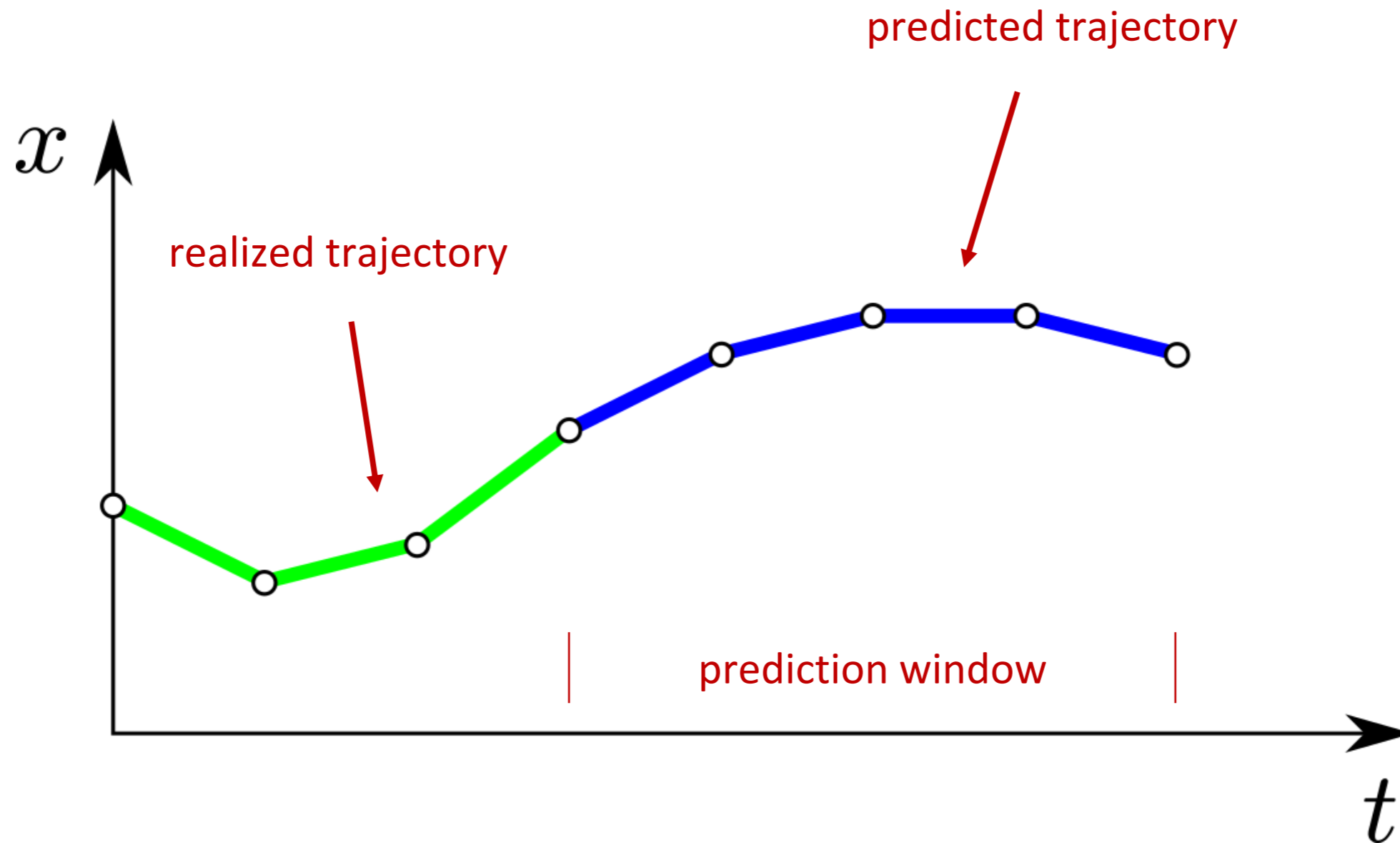
# linear MPC

- **Model Predictive Control** is a general control technique, especially useful on underactuated systems with constraints
- it uses a model to forecast the evolution of the system over a short **prediction window**
- the window shifts forward at each control time-step: **receding horizon control**

# linear MPC – example

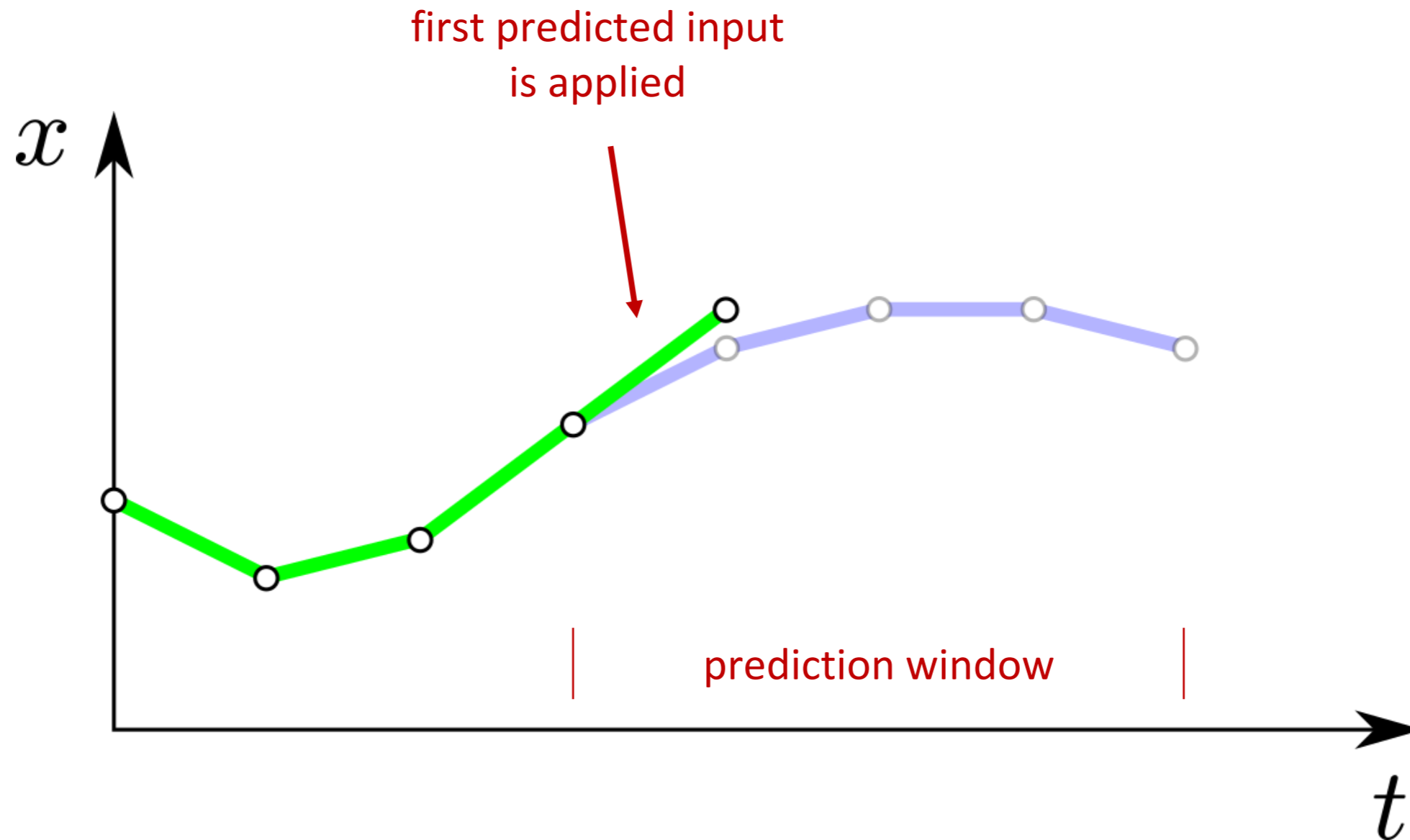


# linear MPC – example

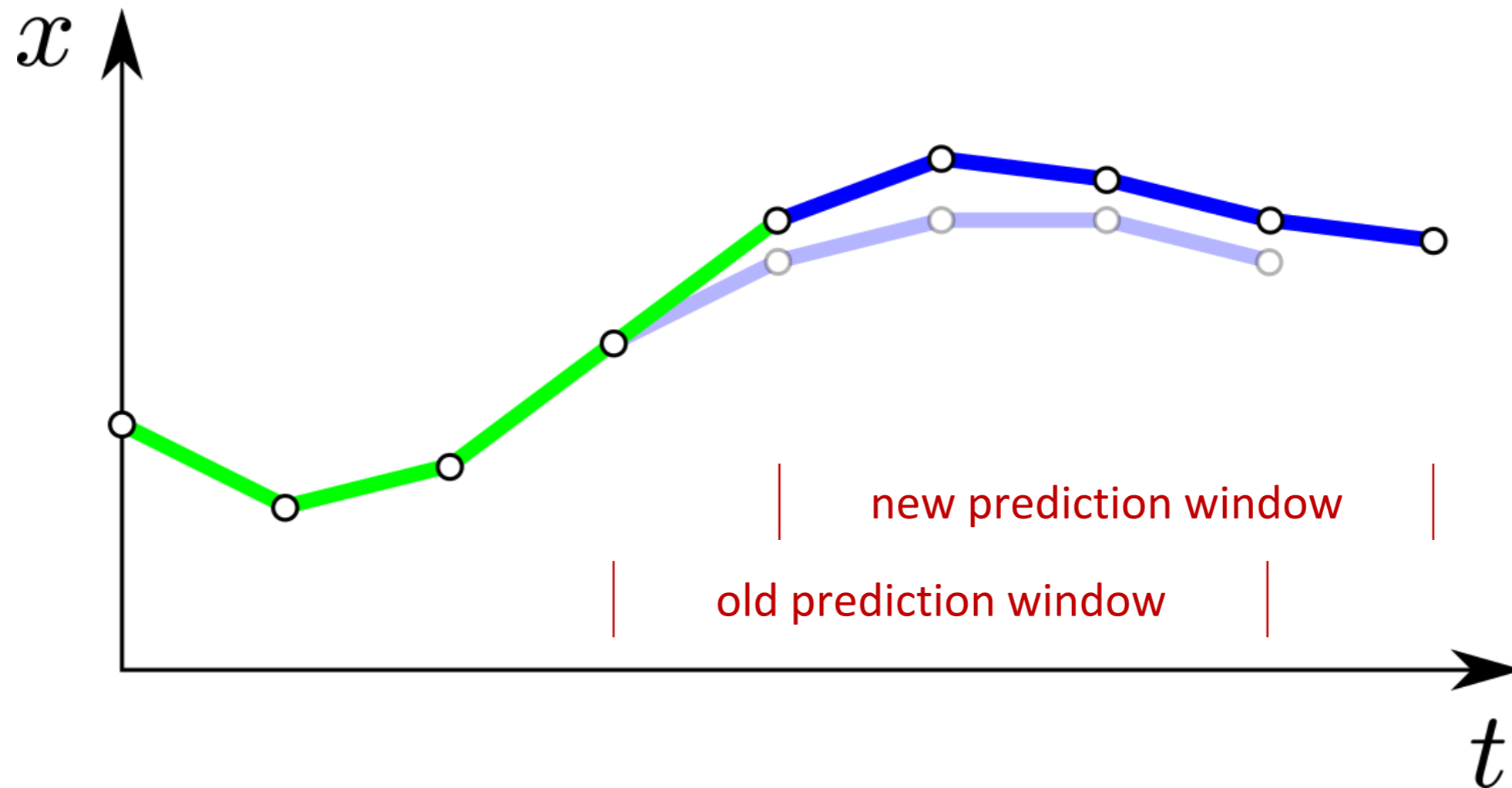




# linear MPC – example



# linear MPC – example



# linear MPC

- example: regulation using linear MPC
- the **prediction model** is given by the linear discrete-time system

$$x_{k+1} = Ax_k + Bu_k$$

$$x \in \mathbb{R}^n$$

$$u \in \mathbb{R}^m$$

# linear MPC

- we want to use this model to forecast the evolution of the system over a **prediction horizon** of  $N$  discrete time-steps
- starting at  $k$ , let's move ahead two time-steps, then perform some substitutions

$$\begin{aligned} x_{k+1} &= Ax_k + Bu_k \\ x_{k+2} &= Ax_{k+1} + Bu_{k+1} \end{aligned}$$



$$\begin{aligned} x_{k+1} &= Ax_k + Bu_k \\ x_{k+2} &= A^2x_k + ABu_k + Bu_{k+1} \end{aligned}$$

# linear MPC

- if we keep going forward in time, we arrive at the following

$$x_{k+1} = Ax_k + Bu_k$$

$$x_{k+2} = A^2x_k + ABu_k + Bu_{k+1}$$

$$x_{k+3} = A^3x_k + A^2Bu_k + ABu_{k+1} + Bu_{k+2}$$

⋮

in which the  $i$ -th term looks like

$$x_{k+i} = A^i x_k + \sum_{j=0}^{i-1} A^{i-j-1} B u_{k+j}$$

which is the discrete equivalent of a **convolution**

# linear MPC

- in matrix form

$$\underbrace{\begin{pmatrix} x_{k+1} \\ x_{k+2} \\ x_{k+3} \\ \vdots \\ x_{k+N} \end{pmatrix}}_{X_{k+1}} = \underbrace{\begin{pmatrix} B & 0 & 0 & \dots & 0 \\ AB & B & 0 & \dots & 0 \\ A^2B & AB & B & \dots & 0 \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ A^{N-1}B & A^{N-2}B & A^{N-3}B & \dots & B \end{pmatrix}}_{\bar{S}} \underbrace{\begin{pmatrix} u_k \\ u_{k+1} \\ u_{k+2} \\ \vdots \\ u_{k+N-1} \end{pmatrix}}_{U_k} + \underbrace{\begin{pmatrix} A \\ A^2 \\ A^3 \\ \vdots \\ A^N \end{pmatrix}}_{\bar{T}} x_k$$

$$X_{k+1} = \bar{S}U_k + \bar{T}x_k$$

- this expresses the vector of **predicted states**  $X_{k+1}$  in terms of the **current state**  $x_k$  and the vector of **predicted inputs**  $U_k$

# linear MPC – cost function

- cost function

$$J = \sum_{j=0}^{N-1} \left[ x_{k+i+1}^T Q x_{k+i+1} + u_{k+i}^T R u_{k+i} \right]$$

- goal: find a sequence  $U_k$  that minimizes  $J$ , i.e., that steers the state  $x$  to the origin “optimally”
- similar to LQR, but the horizon is **finite!**

# linear MPC – cost function

- cost function

$$J = \begin{pmatrix} x_{k+1} \\ \vdots \\ x_{k+N} \end{pmatrix}^T \underbrace{\begin{pmatrix} Q & & 0 \\ & \ddots & \\ 0 & & Q \end{pmatrix}}_{\bar{Q}} \begin{pmatrix} x_{k+1} \\ \vdots \\ x_{k+N} \end{pmatrix} + \begin{pmatrix} u_k \\ \vdots \\ u_{k+N-1} \end{pmatrix}^T \underbrace{\begin{pmatrix} R & & 0 \\ & \ddots & \\ 0 & & R \end{pmatrix}}_{\bar{R}} \begin{pmatrix} u_k \\ \vdots \\ u_{k+N-1} \end{pmatrix}$$

$$J = X_{k+1}^T \bar{Q} X_{k+1} + U_k^T \bar{R} U_k$$

- we can also write the cost function in terms of vectors  $U_k$  and  $X_{k+1}$  using block-diagonal weight matrices



# linear MPC – cost function

- substitute the state prediction term

$$J = \underbrace{(\bar{S}U_k + \bar{T}x_k)^T}_{X_{k+1}^T} \bar{Q} \underbrace{(\bar{S}U_k + \bar{T}x_k)}_{X_{k+1}} + U_k^T \bar{R}U_k$$

$$J = \underbrace{U_k^T (\bar{S}^T \bar{Q} \bar{S} + \bar{R}) U_k}_{\text{quadratic term}} + \underbrace{2U_k^T \bar{S}^T \bar{T} x_k}_{\text{linear term}} + \underbrace{x_k^T \bar{T}^T \bar{Q} \bar{T} x_k}_{\text{constant term (does not change the min)}}$$

- we can omit the constant term (independent of  $U_k$ ) as it only changes the min value, not the min location

# linear MPC – cost function

- minimizing the cost function

$$\min \frac{1}{2} U_k^T H U_k + U_k^T F x_k$$

$$H = 2\bar{S}^T \bar{Q} \bar{S} + 2\bar{R}$$

$$F = 2\bar{S}^T \bar{T}$$

- with no constraints, this can be solved by zeroing the gradient

$$\nabla J = H U_k^* + F x_k = 0 \implies U_k^* = -H^{-1} F x_k$$

# linear MPC – unconstrained case

$$u_k^* = -I_{\text{sel}} H^{-1} F x_k$$

- we isolate the first input of the optimal sequence using a selection matrix  $I_{\text{sel}}$
- this input is **applied** to the system, then the whole process is repeated starting from the new state  $x_{k+1}$
- when no constraint are enforced, MPC is simply **linear state feedback**

# linear MPC – constraints

- add linear **constraints** on output and input

$$\begin{cases} u_{\min} \leq u(t) \leq u_{\max} \\ y_{\min} \leq y(t) \leq y_{\max} \end{cases}$$

- it would be nice if we could turn the problem in the form

$$\begin{aligned} \min & \frac{1}{2} U_k^T H U_k + U_k^T F x_k \\ \text{s.t.} & \bar{A} U_k \leq \bar{b} \end{aligned}$$

because this is a standard **Quadratic Programming** problem and can be solved using off-the-shelf software

# linear MPC – constraints

- input constraints

$$u \leq u_{\max}$$

$$-u \leq -u_{\min}$$

$$\begin{pmatrix} 1 & 0 & \dots & 0 \\ 0 & 1 & \dots & 0 \\ \vdots & & \ddots & \vdots \\ 0 & 0 & \dots & 1 \\ -1 & 0 & \dots & 0 \\ 0 & -1 & \dots & 0 \\ \vdots & & \ddots & \vdots \\ 0 & 0 & \dots & -1 \end{pmatrix} U_k \leq \begin{pmatrix} u_{\max} \\ u_{\max} \\ \vdots \\ u_{\max} \\ -u_{\min} \\ -u_{\min} \\ \vdots \\ -u_{\min} \end{pmatrix}$$

# linear MPC – constraints

- we write the output in terms of predicted inputs using

$$y_{k+i} = CA^i x_k + \sum_{j=0}^{i-1} CA^{i-j-1} B u_{k+j}$$

- the upper output constraint is

$$\begin{pmatrix} CB & 0 & \dots & 0 \\ CAB & CB & \dots & 0 \\ \vdots & & \ddots & \vdots \\ CA^{N-1}B & CA^{N-2}B & \dots & CB \end{pmatrix} U_k \leq \begin{pmatrix} y_{\max} \\ y_{\max} \\ \vdots \\ y_{\max} \end{pmatrix} - \begin{pmatrix} CA \\ CA^2 \\ \vdots \\ CA^N \end{pmatrix} x^k$$

and the lower output constraint can be written similarly using the minus sign trick (see input constraints)

# linear MPC – algorithm

at each step:

- measure or estimate the **current state**
- compute the **prediction** (optimal control sequence) by solving the QP starting from the current state
- apply only the **first input** of the predicted sequence

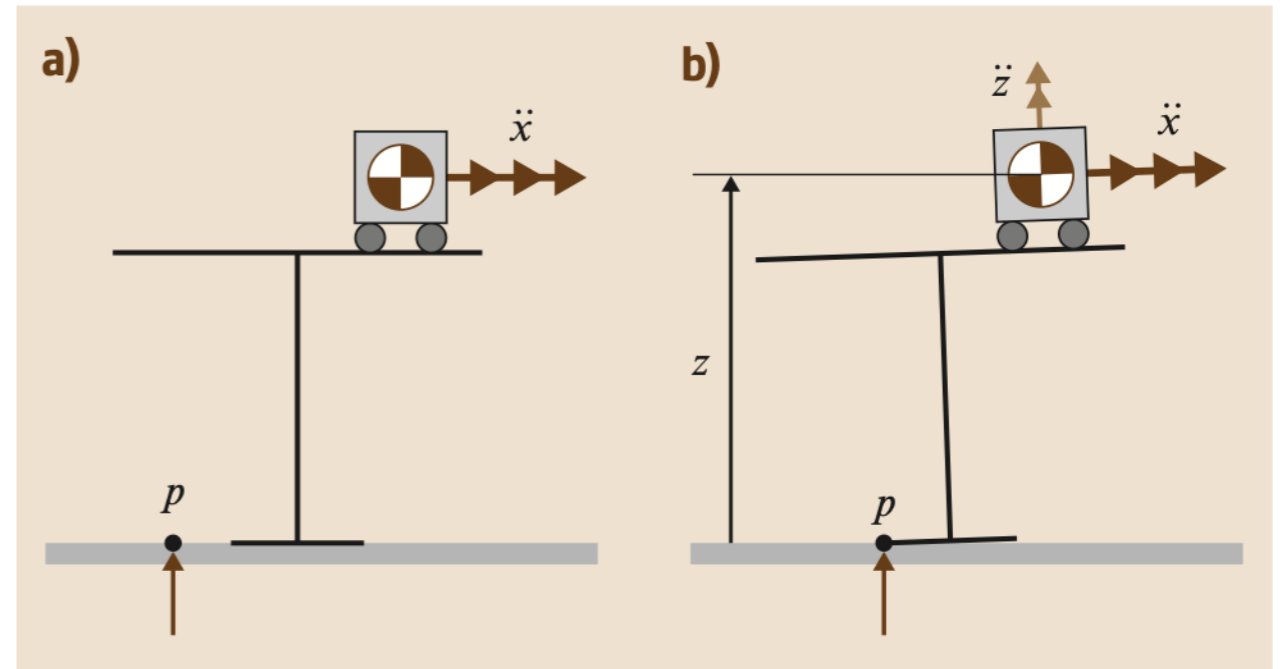
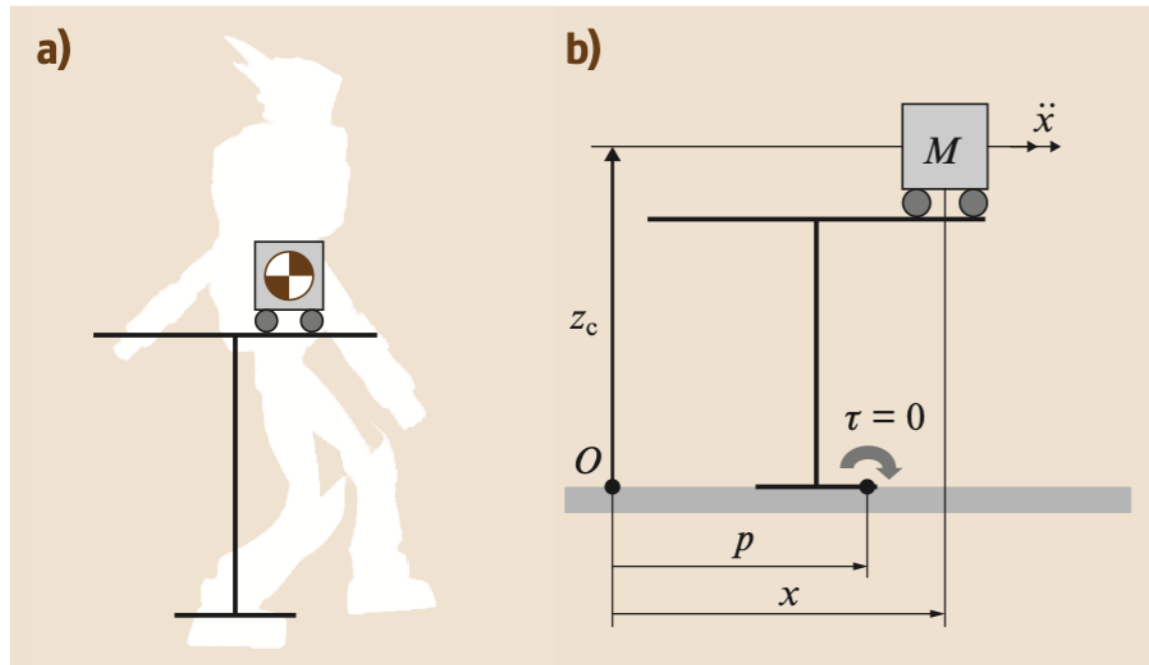
this is similar to planning with optimal control, but the continuous replanning (trajectory is recomputed at each iteration) introduces a form of “robustness”

# preview control

CoM trajectory generation can be seen as the design of a ZMP tracking controller

CoM  $\longrightarrow$  ZMP

$$\frac{z^x(s)}{j^x(s)} = \frac{s^2 - \omega^2}{s^3 \omega^2}$$





# preview control

- Cart-Table (CT) model: the **state** of the system is defined by position, velocity and acceleration of the CoM

$$\mathbf{x} = [\mathbf{c}, \quad \dot{\mathbf{c}}, \quad \ddot{\mathbf{c}}]^T$$

- the **control input** is the jerk of the CoM

$$\mathbf{u} = \dddot{\mathbf{c}}$$

- the **state dynamics** is a triple integrator

$$\frac{d}{dt} \begin{pmatrix} \mathbf{c} \\ \dot{\mathbf{c}} \\ \ddot{\mathbf{c}} \end{pmatrix} = \begin{pmatrix} 0 & 1 & 0 \\ 0 & 0 & 1 \\ 0 & 0 & 0 \end{pmatrix} \begin{pmatrix} \mathbf{c} \\ \dot{\mathbf{c}} \\ \ddot{\mathbf{c}} \end{pmatrix} + \begin{pmatrix} 0 \\ 0 \\ 1 \end{pmatrix} \mathbf{u}$$

- the **output** is the ZMP

$$\mathbf{z} = \begin{pmatrix} 1 & 0 & -c^z/g \end{pmatrix} \begin{pmatrix} \mathbf{c} \\ \dot{\mathbf{c}} \\ \ddot{\mathbf{c}} \end{pmatrix}$$

# preview control

- by discretizing we obtain the dynamical system

$$\begin{cases} \mathbf{x}_{k+1} &= \mathbf{A}\mathbf{x}_k + \mathbf{C}\mathbf{u}_k \\ \mathbf{z}_k &= \mathbf{C}\mathbf{x}_k \end{cases}$$

where

$$\mathbf{A} = \begin{bmatrix} 1 & T & T^2/2 \\ 0 & 1 & T \\ 0 & 0 & 1 \end{bmatrix} \quad \mathbf{B} = \begin{bmatrix} T^3/6 \\ T^2/2 \\ T \end{bmatrix}$$

$$\mathbf{C} = \begin{bmatrix} 1 & 0 & -\frac{c^z}{g} \end{bmatrix}$$

# preview control

- define a **cost function** that achieves tracking of the desired ZMP, while penalizing divergence of the CoM trajectory
- the simplest one: a weighted sum of two terms

$$J = \sum_{i=k}^{k+N-1} \underbrace{\left( \mathbf{z}_{i+1} - \mathbf{z}_{i+1}^{\text{ref}} \right)^T \mathbf{Q} \left( \mathbf{z}_{i+1} - \mathbf{z}_{i+1}^{\text{ref}} \right)}_{\text{tracking error minimization}} + \underbrace{\ddot{\mathbf{x}}_i^T \mathbf{R} \ddot{\mathbf{x}}_i}_{\text{input minimization}}$$

tracking error minimization

input minimization

- this is unconstrained MPC, so the control law can be expressed as a **state feedback** linear control law in **closed form**

# preview control

- requires **planning ahead**, but the reference trajectory far in the far future is weighted less (exponentially)
- it is computationally **very fast** (closed form) because of the absence of constraints
- drawback: the balance condition on the ZMP is **not guaranteed** (ZMP might exit the support polygon)
- a valid solution is obtained by proper **tuning** of the cost function weights, and by designing a **good ZMP reference**

# MPC gait generation

same formulation of the preview controller

- CoM jerk is the input  $u = \ddot{c}$
- state is CoM position, velocity and acceleration

$$\mathbf{x} = [\mathbf{c}, \quad \dot{\mathbf{c}}, \quad \ddot{\mathbf{c}}]^T$$

- the **state dynamics** is a triple integrator

$$\frac{d}{dt} \begin{pmatrix} \mathbf{c} \\ \dot{\mathbf{c}} \\ \ddot{\mathbf{c}} \end{pmatrix} = \begin{pmatrix} 0 & 1 & 0 \\ 0 & 0 & 1 \\ 0 & 0 & 0 \end{pmatrix} \begin{pmatrix} \mathbf{c} \\ \dot{\mathbf{c}} \\ \ddot{\mathbf{c}} \end{pmatrix} + \begin{pmatrix} 0 \\ 0 \\ 1 \end{pmatrix} u$$

- the **output** is the ZMP

$$\mathbf{z} = \begin{pmatrix} 1 & 0 & -c^z/g \end{pmatrix} \begin{pmatrix} \mathbf{c} \\ \dot{\mathbf{c}} \\ \ddot{\mathbf{c}} \end{pmatrix}$$

# MPC gait generation

- instead of tracking a ZMP reference, impose **ZMP constraints**

$$\begin{pmatrix} z_{k+1}^{x,min} \\ z_{k+2}^{x,min} \\ \vdots \\ z_{k+N}^{x,min} \\ z_{k+1}^{y,min} \\ z_{k+2}^{y,min} \\ \vdots \\ z_{k+N}^{y,min} \end{pmatrix} \leq \begin{pmatrix} z_{k+1}^x \\ z_{k+2}^x \\ \vdots \\ z_{k+N}^x \\ z_{k+1}^y \\ z_{k+2}^y \\ \vdots \\ z_{k+N}^y \end{pmatrix} \leq \begin{pmatrix} z_{k+1}^{x,max} \\ z_{k+2}^{x,max} \\ \vdots \\ z_{k+N}^{x,max} \\ z_{k+1}^{y,max} \\ z_{k+2}^{y,max} \\ \vdots \\ z_{k+N}^{y,max} \end{pmatrix}$$

(simplified case:  
in general x-y  
are not decoupled)

- the cost function can just minimize the square of the input over the prediction horizon

$$J = \sum_{i=k}^{k+N-1} \left( (\ddot{c}_i^x)^2 + (\ddot{c}_i^y)^2 \right)$$

# MPC gait generation

- the idea is to shift the the balance condition from the **cost function** (tracking a reference) to the **constraints** (ZMP in support polygon)
- more **guarantees** on the ZMP at the cost of a more **complex** controller (need to solve a constrained optimization at each time step)
- good QP solvers can still guarantee **real-time** execution

# MPC on the LIP model

- we can use the **Linear Inverted Pendulum (LIP)** as the prediction model of the MPC

$$\frac{d}{dt} \begin{pmatrix} x \\ \dot{x} \end{pmatrix} = \begin{pmatrix} 0 & 1 \\ \eta^2 & 0 \end{pmatrix} \begin{pmatrix} x \\ \dot{x} \end{pmatrix} + \begin{pmatrix} 0 \\ -\eta^2 \end{pmatrix} x_z$$

- the ZMP is now the **input**
- the LIP is **unstable**! how do we guarantee that we do not get a divergent CoM trajectory?



# MPC on the LIP model

- decompose the LIP in **stable** and **unstable** dynamics

$$x_s = c - \dot{c}/\eta$$

$$x_u = c + \dot{c}/\eta$$

- we want to impose the condition **at every MPC iteration**

$$x_u^k = \eta \int_{t_k}^{\infty} e^{-\eta(\tau - t_k)} z(\tau) d\tau \quad \longrightarrow \quad \text{stability constraint}$$

# the stability constraint

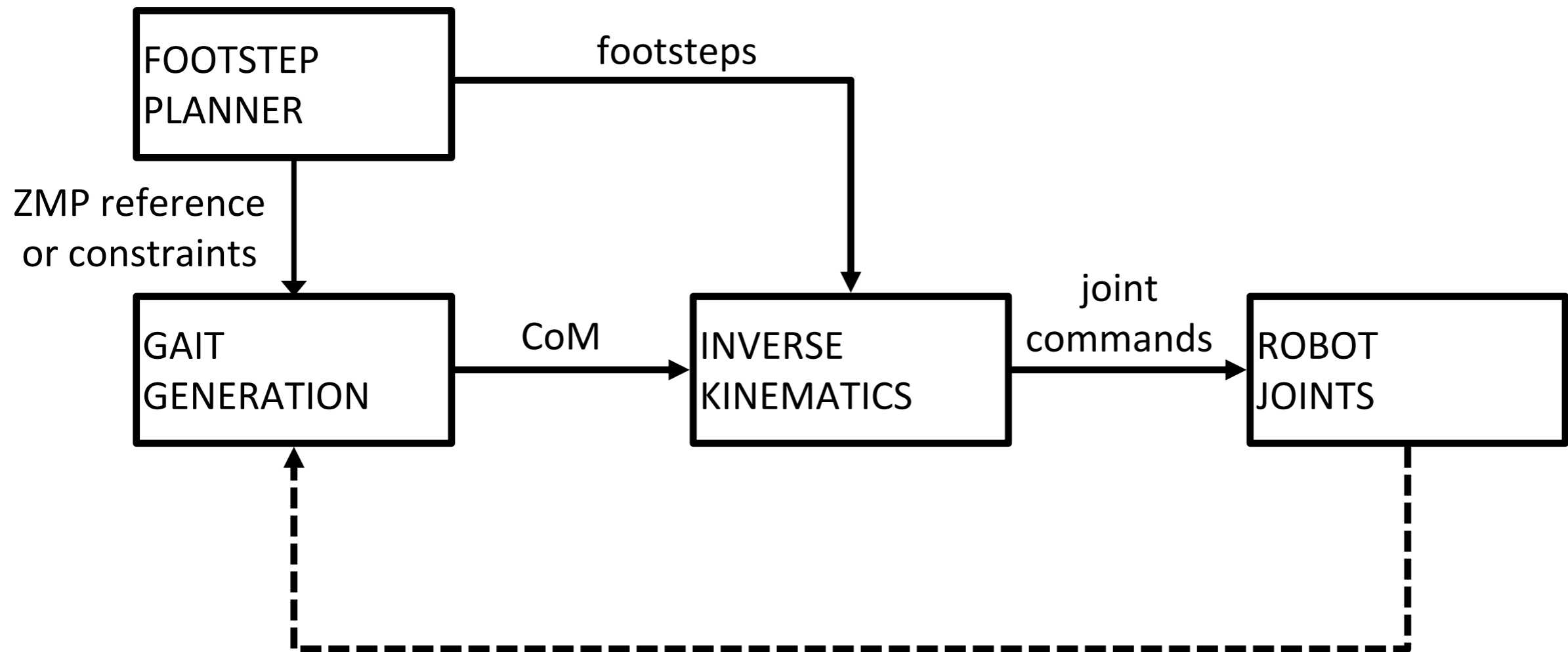
$$\text{current state} \longrightarrow \left( x_u^k \right) = \eta \int_{t_k}^{\infty} e^{-\eta(\tau - t_k)} \left( z(\tau) \right) d\tau \longleftarrow \text{predicted ZMP}$$

- the integral requires the predicted ZMP trajectory up to infinity, but MPC has a **finite** prediction horizon
- we **conjecture** the ZMP after the horizon (e.g., with the footstep plan)

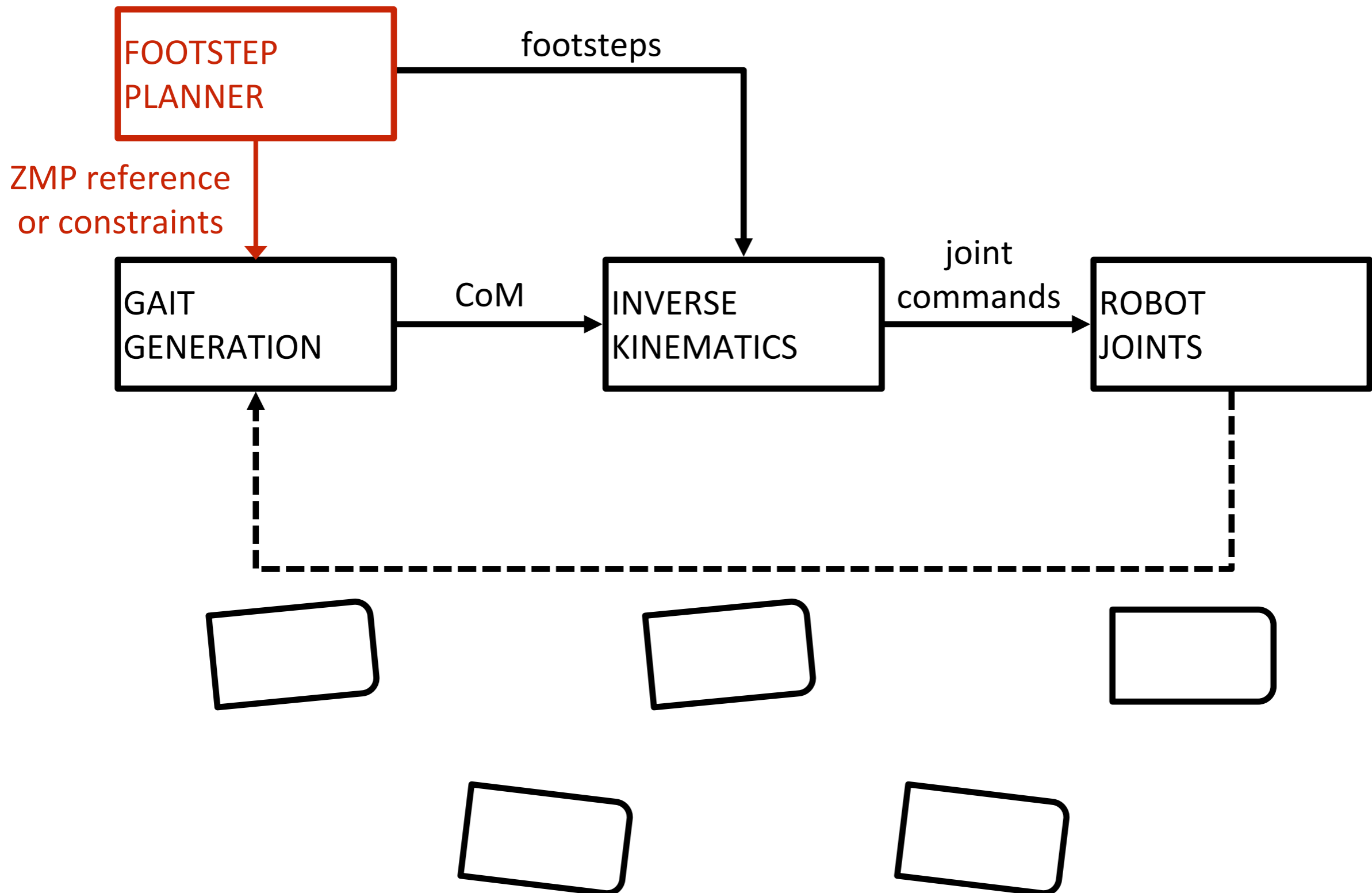
⇒ **recursive feasibility**

⇒ **stability**

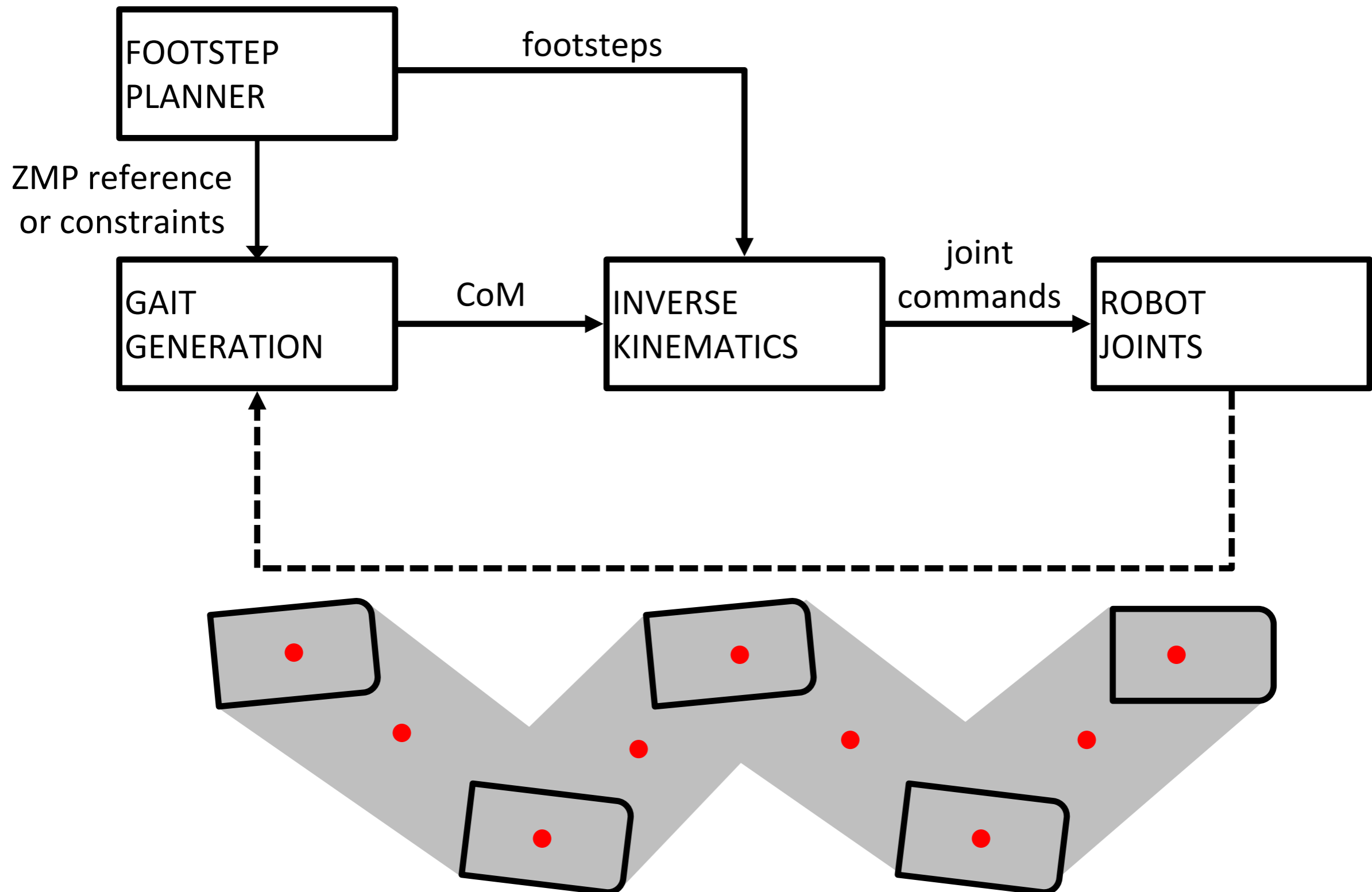
# general controller architecture



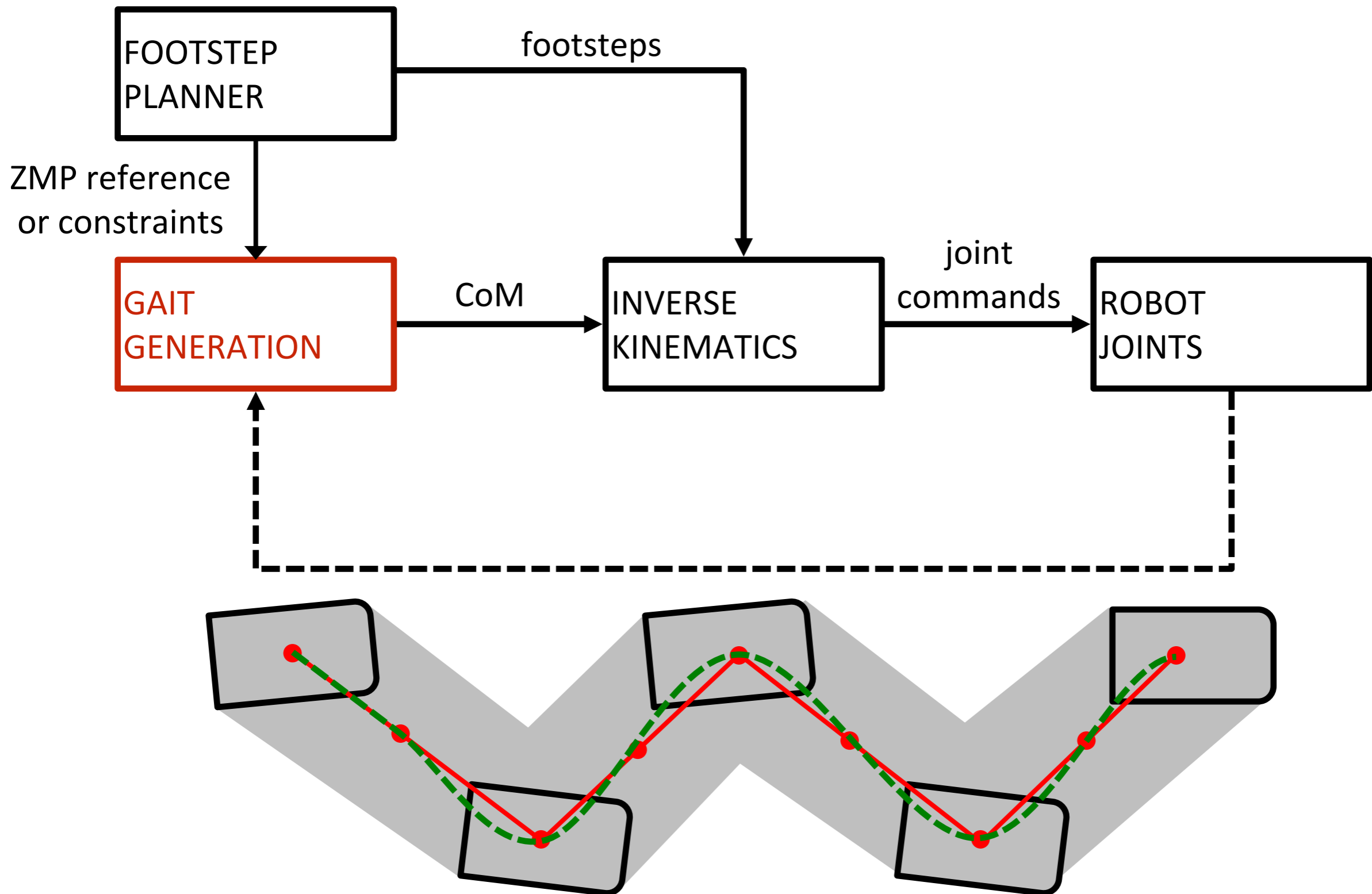
# general controller architecture



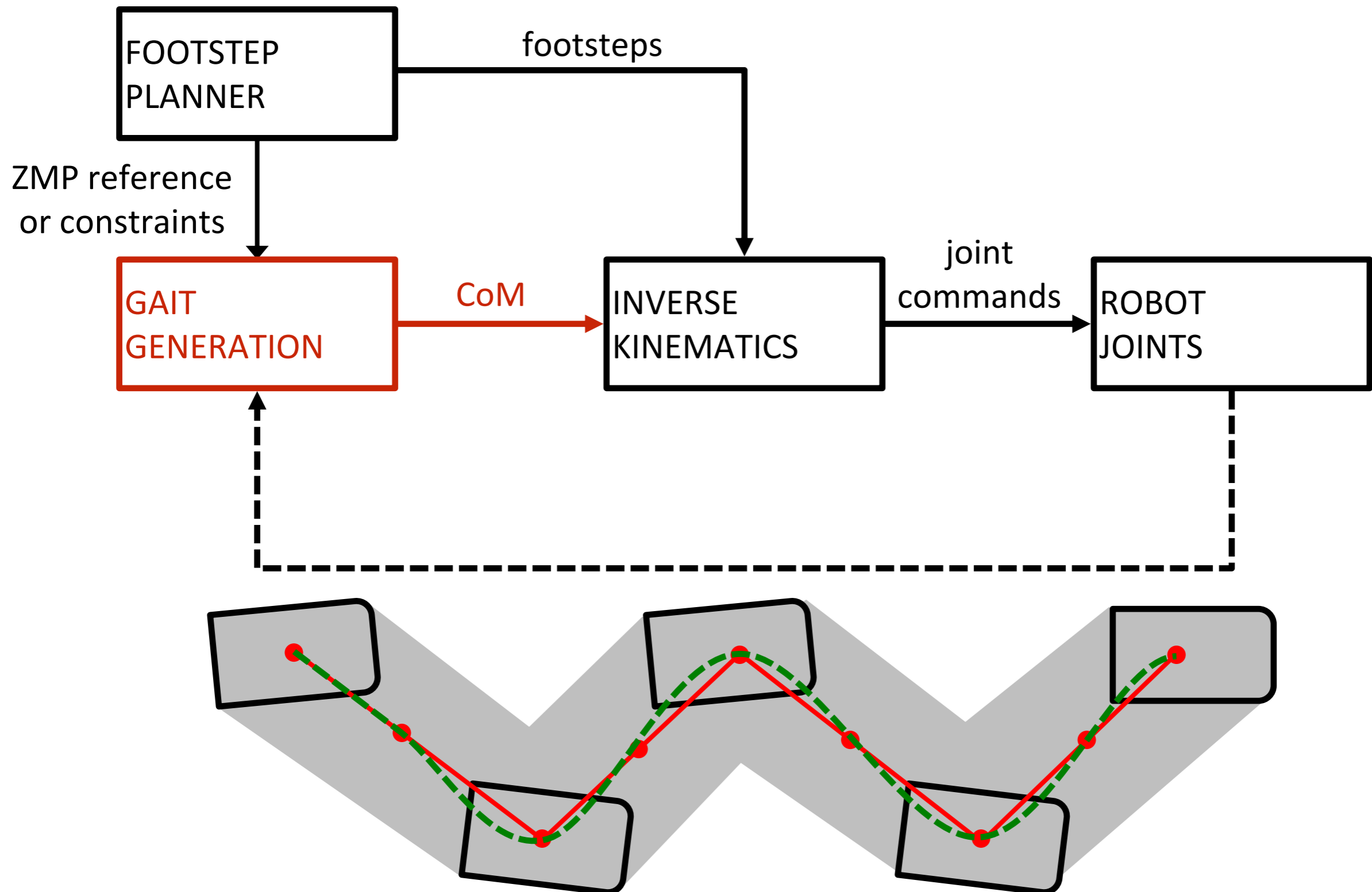
# general controller architecture



# general controller architecture



# general controller architecture



# kinematic control

- how do we make the robot execute the desired CoM trajectory?
- simple solution: **kinematic tracking**

$$\mathbf{c} = \begin{pmatrix} c^x \\ c^y \\ c^z \end{pmatrix}, \quad \theta = \begin{pmatrix} \theta^x \\ \theta^y \\ \theta^z \end{pmatrix}$$

position of the CoM and  
orientation of the torso

$$\mathbf{f} = \begin{pmatrix} f^x \\ f^y \\ f^z \end{pmatrix}, \quad \phi = \begin{pmatrix} \phi^x \\ \phi^y \\ \phi^z \end{pmatrix}$$

position and orientation  
of the swing foot

everything is expressed wrt to the **current support foot**



# kinematic control

- differential kinematics

$$\dot{\mathbf{c}} = J_c(\mathbf{q})\dot{\mathbf{q}}$$

$$\dot{\boldsymbol{\theta}} = J_\theta(\mathbf{q})\dot{\mathbf{q}}$$

$$\dot{\mathbf{f}} = J_f(\mathbf{q})\dot{\mathbf{q}}$$

$$\dot{\boldsymbol{\phi}} = J_\phi(\mathbf{q})\dot{\mathbf{q}}$$



$$\begin{pmatrix} \dot{\mathbf{c}} \\ \dot{\boldsymbol{\theta}} \\ \dot{\mathbf{f}} \\ \dot{\boldsymbol{\phi}} \end{pmatrix} = \begin{pmatrix} J_c(\mathbf{q}) \\ J_\theta(\mathbf{q}) \\ J_f(\mathbf{q}) \\ J_\phi(\mathbf{q}) \end{pmatrix} \dot{\mathbf{q}}$$

velocity task

stack of jacobians

- can be written as a **stack of tasks**

$$\dot{\mathbf{t}} = J_t(\mathbf{q})\dot{\mathbf{q}}$$

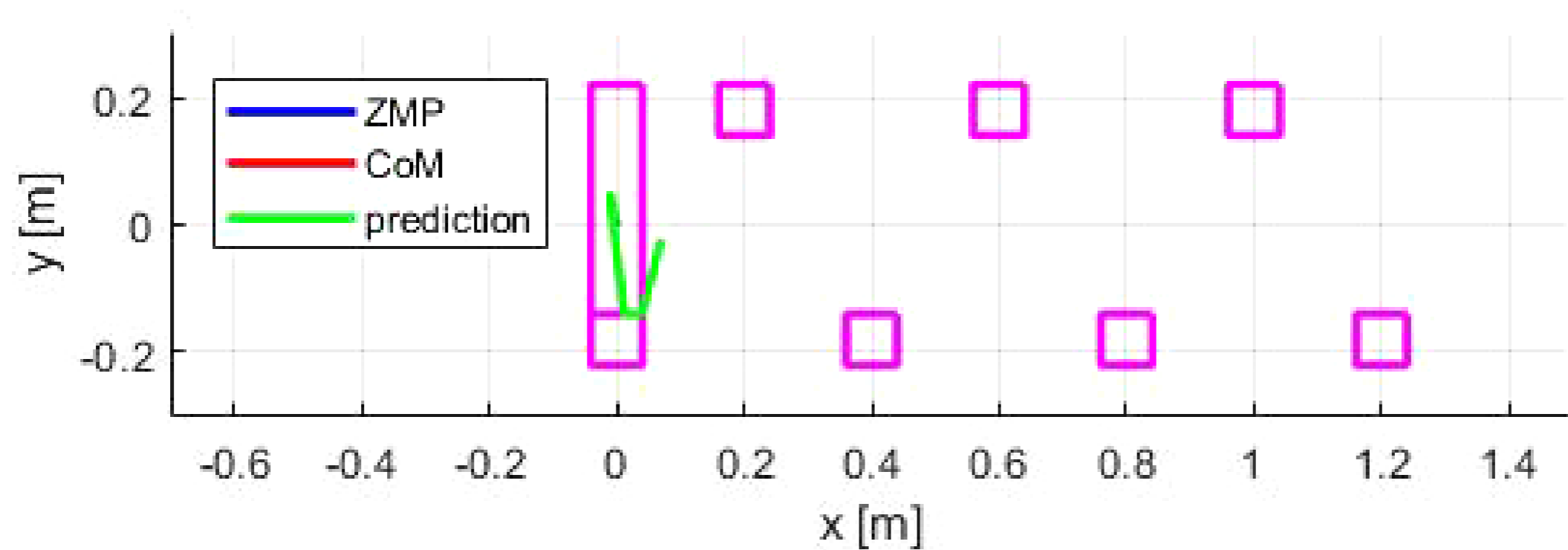
- the classic solution is the **pseudoinverse**

$$\dot{\mathbf{q}} = J_t^\#(\mathbf{q})\dot{\mathbf{t}}_{des}$$


- add a **position error** to avoid **drifting**

$$\dot{\mathbf{q}} = J_t^\#(\mathbf{q}) \left( \dot{\mathbf{t}}_{des} + k(\mathbf{t}_{des} - \mathbf{t}) \right)$$

# examples – MPC gait generation



# examples – simulations and experiments



simulation 6  
HRP-4 (V-REP)  
walking along a cusp

## other relevant topics

- **robust** gait generation (for disturbances)
- vertical CoM motion (for **uneven ground**)
- more accurate models (e.g., with **angular momentum**)
- **footstep planning** in complex environments

# more examples – robust gait generation



## **Gait Generation using Intrinsically Stable MPC in the Presence of Persistent Disturbances**

F. M. Smaldone, N. Scianca, V. Modugno, L. Lanari, G. Oriolo

Robotics Lab, DIAG  
Sapienza Università di Roma

July 2019

## more examples – uneven ground



# **An Integrated Motion Planner/Controller for Gait Generation on Uneven Ground**

P. Ferrari, N. Scianca, L. Lanari, G. Oriolo

Robotics Lab, DIAG  
Sapienza Università di Roma

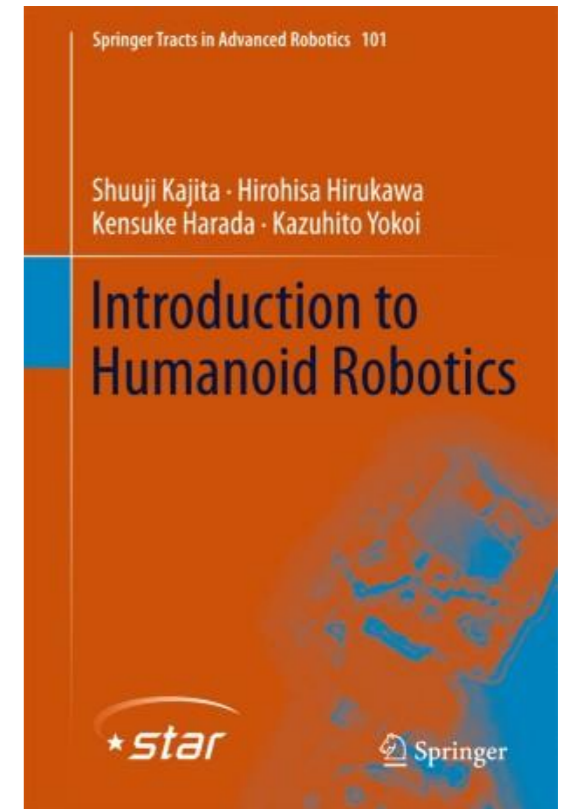
November 2018

# material – books

Kajita, Hirukawa, Harada, Yokoi

## “Introduction to Humanoid Robots”

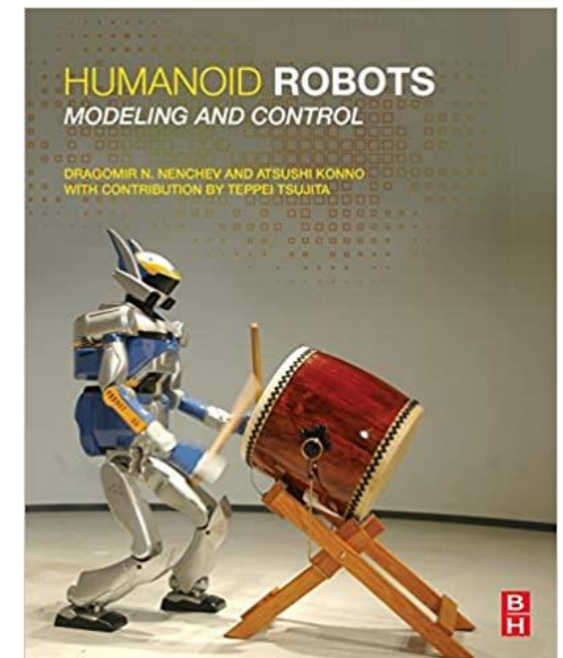
Springer



Nenchev, Konno, Tsujita

## “Humanoid Robots: Modeling and Control”

Butterworth-Heinemann



# material – books

material on model predictive control:

prof. Alberto Bemporad slides, from his course on MPC

[http://cse.lab.imtlucca.it/~bemporad/mpc\\_course.html](http://cse.lab.imtlucca.it/~bemporad/mpc_course.html)

Kajita, Kanehiro, Kaneko, Fujiwara, Harada, Yokoi, Hirukawa

**“Biped walking pattern generation by using preview control of zero-moment point”**

Int. Conf. on Robotics and Automation, 2003

Wieber

**“Trajectory Free Linear Model Predictive Control for Stable Walking in the Presence of Strong Perturbations”**

Int. Conf. on Humanoid Robots, 2006